

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: BISCHOFBERGER ET AL - 8 PCT (RCE)

SERIAL NO.: 10/589,215

EXAMINER: Caitlin Anne FOGARTY

FILED: SEPTEMBER 7, 2006

GROUP: 1793

TITLE: MATERIAL ON THE BASIS OF AN ALUMINUM ALLOY, METHOD FOR  
ITS PRODUCTION, AS WELL AS USE THEREFOR

**REQUEST FOR CORRECTED FILING RECEIPT**

**MAIL STOP: PCT**

**Attention: Legal Office**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Commissioner:

Attached is a copy of the Official Filing Receipt received from the Patent and Trademark Office in the above-identified application, which it is respectfully requested be updated to ADD the second and third inventors as added in the Request to Change Inventorship under 37 C.F.R. 1.497(d) filed March 13, 2009:

**Under "Applicant(s)":**

Ulrich Bischofberger, Esslingen, GERMANY;

should be corrected to read:


Ulrich Bischofberger, Esslingen, GERMANY;

**Peter Krug, Velbert, GERMANY;**

**Gero Sinha, Velbert, GERMANY;**

It is respectfully requested that a corrected filing receipt be issued as promised by Examiner Caitlin Anne Fogarty on page 3 of the November 23, 2009 Non-Final Office Action. As the second and third inventors were added by the filing of a Request to Change Inventorship under 37 C.F.R. 1.497(d) filed on March 13, 2009 (copy enclosed) and payment of the fee therefor, it is believed that no fee should be associated with this request. However, if there should be a fee the Commissioner is hereby authorized to charge Deposit Account No. 03-2468.

Respectfully submitted,  
Ulrich BISCHOFBERGER ET AL

  
\_\_\_\_\_  
Frederick J. Dorchak, Reg. No. 29,298  
Elizabeth C. Richter, Reg. No. 35,103  
Attorneys for Applicants

COLLARD & ROE, P.C.  
1077 Northern Boulevard  
Roslyn, New York 11576  
(516) 365-9802

cmp

Enclosures:

copy of Official Filing Receipt

copy of Request to Change Inventorship under 37 C.F.R. 1.497(d) filed March 13, 2009

copy of Declaration/Power of Attorney filed March 13, 2009

I hereby certify that this correspondence is being filed electronically in the U.S. Patent and Trademark Office on September 15, 2010.

  
\_\_\_\_\_  
Elizabeth C. Richter

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/589,215	09/07/2006	1742	1030	BISCHOFBERGER-8 PCT	13	1

CONFIRMATION NO. 8398

25889  
WILLIAM COLLARD  
COLLARD & ROE, P.C.  
1077 NORTHERN BOULEVARD  
ROSLYN, NY 11576

FILING RECEIPT

Date Mailed: 04/17/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s) ① Ulrich Bischofberger, Esslingen, GERMANY;

**ADD:** ② Peter Krug, Velbert, Germany;  
③ Gero Sinha, Velbert, Germany;

**Power of Attorney:** The patent practitioners associated with Customer Number 25889

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/DE05/00254 02/15/2005

**Foreign Applications**

GERMANY 10 2004 007 704.5 02/16/2004

**If Required, Foreign Filing License Granted:** 04/15/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/589,215**

**Projected Publication Date:** 07/26/2007

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Material on the basis of an aluminum alloy, method for its production, as well as use therefor

**Preliminary Class**

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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### LICENSE FOR FOREIGN FILING UNDER

#### Title 35, United States Code, Section 184

#### Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to

espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



1793  
1793

PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: BISCHOFBERGER ET AL - 8 PCT  
SERIAL NO.: 10/589,215 EXAMINER: FOGARTY, CAITLIN A.  
FILED: SEPTEMBER 7, 2006 GROUP: 1793  
TITLE: MATERIAL ON THE BASIS OF AN ALUMINUM ALLOY, METHOD  
FOR ITS PRODUCTION, AS WELL AS USE THEREFOR

REQUEST TO CHANGE INVENTORSHIP UNDER 37 C.F.R. §1.497(d)

**MAIL STOP: AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

Applicants hereby request that the inventorship of the  
above-identified application be changed under 37 C.F.R. §1.497(d)  
to add Peter KRUG and Gero SINHA as additional inventors so that  
the inventors for this patent application are as follows:

Ulrich BISCHOFBERGER, a citizen of Germany, having an  
address of Hegensberger Strasse 137, 73732 Esslingen, Germany;

Peter KRUG, a citizen of Germany, having an address of  
Wilhelm-Teleu-Weg 2, 42555 Velbert, Germany; and

Gero SINHA, a citizen of Germany, having an address of Am Pastoratsberg 4, 42553 Velbert, Germany.

Accompanying this request are the following papers:

1. Statements under 37 C.F.R. §1.497(d) from the inventors to be added, Peter KRUG and Gero SINHA, that the error of inventorship occurred without deceptive intention on their part,

2. A copy of the executed Declaration/Power of Attorney by all three inventors, Ulrich BISCHOFBERGER, Peter KRUG and Gero SINHA.

3. The processing fee of \$130.00 as set forth in 37 C.F.R. 1.17(i), and

4. Written consent of the Assignee, MAHLE GmbH, with Statement under 37 C.F.R. 3.73(b), the Assignment from the originally-named inventor, Ulrich BISCHOFBERGER to Mahle GmbH, having been previously recorded on September 11, 2006, Reel 018292, Frame 0513.



In view of the foregoing, Applicants respectfully request that the inventorship of the above-identified application be amended so that all the inventors Ulrich BISCHOFBERGER, Peter KRUG and Gero SINHA be named inventors in this application.

Respectfully submitted,  
Ulrich BISCHOFBERGER ET AL

COLLARD & ROE, P.C.  
1077 Northern Boulevard  
Roslyn, New York 11576  
(516) 365-9802

Elizabeth C. Richter, Reg.No.35,103  
Frederick J. Dorchak, Reg.No. 29,298  
Attorneys for Applicants

ECR:cmm

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 10, 2009.

Amy Klein



703.018B  
US



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SERIAL NO.: 10/589,215 EXAMINER: FOGARTY, CAITLIN A.  
FILED: SEPTEMBER 7, 2006 GROUP: 1793  
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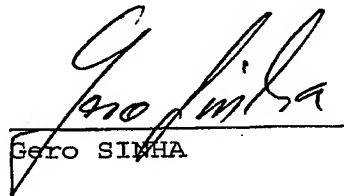
STATEMENT UNDER 37 C.F.R. §1.497(d)

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

I, Gero SINHA, hereby declare and state that I am a  
co-inventor and the failure to name me as a joint inventor in the  
international application occurred without deceptive intention.

Date: 27 Jan. 2009

  
Gero SINHA



703.0183  
65

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SERIAL NO.: 10/589,215 EXAMINER: FOGARTY, CAITLIN A.  
FILED: SEPTEMBER 7, 2006 GROUP: 1793  
TITLE: MATERIAL ON THE BASIS OF AN ALUMINUM ALLOY, METHOD  
FOR ITS PRODUCTION, AS WELL AS USE THEREFOR


STATEMENT UNDER 37 C.F.R. §1.497(d)

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

I, Peter KRUG, hereby declare and state that I am a  
co-inventor and the failure to name me as a joint inventor in the  
international application occurred without deceptive intention.

Date: 24 Jan. 2009

  
Peter KRUG

703.01845



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: BISCHOFBERGER ET AL - 8 PCT  
SERIAL NO.: 10/589,215 EXAMINER: FOGARTY, CAITLIN A.  
FILED: SEPTEMBER 7, 2006 GROUP: 1793  
TITLE: MATERIAL ON THE BASIS OF AN ALUMINUM ALLOY, METHOD  
FOR ITS PRODUCTION, AS WELL AS USE THEREFOR

**ASSENT OF ASSIGNEE TO ADDITION OF INVENTORS  
UNDER 37 C.F.R. §1.497(d)**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

MAHLE GmbH, a corporation of Germany, having an office at  
Pragstrasse 26-46, D-70376 Stuttgart, Germany, states that it is  
the assignee of the entire right, title and interest in the  
patent application identified above by virtue of an assignment  
from the inventors of the patent application identified above. A  
copy of the assignment is attached.

MAHLE GmbH hereby assents to the correction of inventorship  
filed herewith.

The undersigned (whose title is supplied below) is  
authorized to act on behalf of the assignee.

MAHLE GmbH

Date: 16.02.09

ppa. Assmus  
Name: Hans-Otto Assmus  
Title: Director Product Development

ppa. Uwe Mohr  
Name: Dr. Uwe Mohr  
Title: Vice President Corporate Research



703.018 B  
- US -

PTO/SB/103 (3-96)  
Approved for use through 9/30/98. OMB 0551-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## Declaration and Power of Attorney for Patent Application Erklärung für Patentanmeldungen mit Vollmacht

### German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides statt:

daß mein Wohnsitz, meine Postanschrift und meine Staatsangehörigkeit den im nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, daß ich nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den den ein Patent für die Erfindung mit folgendem Titel beantragt wird:

#### MATERIAL ON THE BASIS OF AN ALUMINUM ALLOY, METHOD FOR ITS PRODUCTION, AS WELL AS USE THEREFOR

deren Beschreibung hier beigelegt ist, es sei denn das folgende Kästchen ist angekreuzt.

☒ wurde angemeldet am September 7, 2006  
(PCT filed: February 15, 2005)  
unter der US-Anmeldenummer oder unter der  
Internationalen Anmeldenummer im Rahmen des  
Vertrags über die Zusammenarbeit auf dem Gebiet  
des Patentwesens (PCT)  
10/589,215 (PCT/DE2005/000254) und am  
August 15, 2006 abgeändert  
(falls zutreffend).

Ich bestätige hiermit, daß ich den Inhalt der oben angegebenen Patentanmeldung, einschließlich der Ansprüche, die eventuell durch einen oben erwähnten Zusatzantrag abgeändert wurde, durchgesehen und verstanden habe

Ich erkenne meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Titel 37, Code of Federal Regulations, § 1.56 von Belang sind.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### MATERIAL ON THE BASIS OF AN ALUMINUM ALLOY, METHOD FOR ITS PRODUCTION, AS WELL AS USE THEREFOR

the specification of which is attached hereto unless the following box is checked:

☒ was filed on September 7, 2006  
(PCT filed: February 15, 2005)  
as United States Application Number or PCT  
International Application Number  
10/589,215 (PCT/DE2005/000254) and was  
amended on  
August 15, 2006 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information which is material to patentability as defined in § 1.56, Title 37, Code of Federal Regulations.

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

**German Language Declaration**

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäß Title 35, United States Code, § US-Code, § 119, bzw. § 365 aller unten aufgeführten Auslandsanmeldungen für Patente oder Erfinderurkunden, oder aller PCT Internationalen Anmeldungen, welche wenigstens ein Land ausser den Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen sämtliche Auslandsanmeldungen für Patente bzw. Erfinderurkunden oder PCT Internationale Anmeldungen angegeben, deren Anmeldetag dem der Anmeldung, für welche Priorität beansprucht wird, vorangeht.

Prior Foreign Applications  
(Frühere ausländische Anmeldungen)

**10 2004 007 704.5**

(Number)  
(Nummer)

**Germany**

(Country)  
(Land)

(Number)  
(Nummer)

(Country)  
(Land)

Ich beanspruche hiermit Prioritätsvorteile unter Title 35, US-Code, § 119(e) aller US-Hilfsanmeldungen wie unten aufgezählt.

(Application No.)  
(Aktenzeichen)

(Filing Date)  
(Anmeldetag)

(Application No.)  
(Aktenzeichen)

(Filing Date)  
(Anmeldetag)

Ich beanspruche hiermit die mir unter Title 35, US-Code, § 120 zustehenden Vorteile aller unten aufgeführten US-Patentanmeldungen oder aller PCT internationalen Anmeldungen, welche die Vereinigten Staaten von Amerika benennen, und erkenne, insofern der Gegenstand eines jeden früheren Anspruchs dieser Patentanmeldung nicht in einer US-Patentanmeldung, bzw. PCT Internationalen Anmeldung in in einer gemäß dem ersten Absatz von Title 35, US-Code, § 112 vorgeschriebenen Art und Weise offenbart wurde, meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Title 37, Code of Federal Regulations, § 1.56 von Belang sind und die im Zeitraum zwischen dem Anmeldetag der früheren Patentanmeldung und dem nationalen oder im Rahmen des Vertrags über die Zusammenarbeit auf dem Gebiet des Patentwesens (PCT) gültigen Internationalen Anmeldetags bekannt geworden sind.

(Application No.)  
(Aktenzeichen)

(Filing Date)  
(Anmeldetag)

(Application No.)  
(Aktenzeichen)

(Filing Date)  
(Anmeldetag)

Ich erkläre hiermit, daß alle in der vorliegenden Erklärung von mir gemachten Angaben nach bestem Wissen und Gewissen der Wahrheit entsprechen, und ferner daß ich diese eidesstattliche Erklärung in Kenntnis dessen ablege, daß wissentlich und vorsätzlich falsche Angaben oder dergleichen gemäß § 1001, Title 18 des US-Code strafbar sind und mit Geldstrafe und/oder Gefängnis bestraft werden können und daß derartige wissentlich und vorsätzlich falsche Angaben die Rechtswirksamkeit der vorliegenden Patentanmeldung oder eines aufgrund deren erteilten Patentes gefährden können.

I hereby claim foreign priority under Title 35, United States Code, §119 or §365 of any foreign application(s) for patent or inventor's certificate, or of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Claimed  
Priorität beansprucht

Priority Not Claimed  
Priorität nicht beansprucht

**16 February 2004** ☒

(Day/Month/Year Filed)  
(Tag/Monat/Jahr der Anmeldung)

☐  
(Day/Month/Year Filed)  
(Tag/Monat/Jahr der Anmeldung)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in §1.56, Title 37, Code of Federal Regulations, which occurred between the filing date of the prior application(s) and the national or PCT International filing date of this application.

(Status) (patented, pending, abandoned)  
(Status) (patentiert, schwebend, aufgegeben)

(Status) (patented, pending, abandoned)  
(Status) (patentiert, schwebend, aufgegeben)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

703.018 B  
US

Approved for use through 9/30/03. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### German Language Declaration

VERTRETUNGSVOLMACHT: Als benannter Erfinder beauftrage ich hiermit den (die) cPatentanwalt (Patentanwältin) und/oder Vertreter verbunden mit der

**Customer number 25889**

mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Angelegenheiten vor dem US-Patent- und Markenamt.

Postanschrift:

COLLARD & ROE, P.C.  
1077 Northern Boulevard  
Roslyn, NY 11576  
CUSTOMER NUMBER: 25889

Telefonische Auskünfte: (Telefonnummer)

(516) 365-9802

POWER OF ATTORNEY: As a named inventor, I hereby appoint the attorney(s) and/or agent(s) associated with

**Customer number 25889**

to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Send Correspondence to:

COLLARD & ROE, P.C.  
1077 Northern Boulevard  
Roslyn, NY 11576  
CUSTOMER NUMBER: 25889

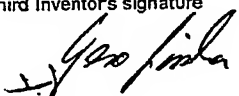
Direct Telephone Calls to: (name and telephone number)

(516) 365-9802

<b>Vor- und Zuname des einzigen oder ersten Erfinders</b> Full name of sole or first inventor <b>Ulrich BISCHOFBERGER</b>	<b>Vor- und Zuname des zweiten Miterfinders (falls zutreffend)</b> Full name of second joint inventor, if any <b>Peter KRUG</b>
<b>Unterschrift des Erfinders</b> Inventor's signature <i>Bischofberger</i> <b>Datum</b> Date <b>18. Feb. 09</b>	<b>Unterschrift des zweiten Erfinders</b> Second Inventor's signature <i>[Signature]</i> <b>Datum</b> Date <b>24. Jan. 09</b>
<b>Wohnsitz</b> Residence <b>Esslingen, Germany</b>	<b>Wohnsitz</b> Residence <b>Velbert, Germany</b>
<b>Staatsangehörigkeit</b> Citizenship <b>Germany</b>	<b>Staatsangehörigkeit</b> Citizenship <b>Germany</b>
<b>Postanschrift</b> Post Office Address <b>Hegensberger Strasse 137</b> <b>73732 Esslingen, Germany</b>	<b>Postanschrift</b> Post Office Address <b>Wilhelm-Teleu-Weg 2</b> <b>42555 Velbert, Germany</b>

(Im Falle dritter und weiterer Miterfinder sind die entsprechenden Informationen und Unterschriften hinzuzufügen.)

(Supply similar information and signature for third and subsequent joint inventors.)

Vor- und Zuname des dritten Miterfinders (falls zutreffend) Full name of third joint inventor, if any <b>Gero SINHA</b>		Vor- und Zuname des vierten Miterfinder (falls zutreffend) Full name of fourth joint inventor, if any	
Unterschrift des dritten Erfinders Third Inventor's signature 	Datum Date <b>27. Jan. 2009</b>	Unterschrift des vierten Erfinders Fourth Inventor's signature	Datum Date
Wohnsitz Residence <b>Velbert, Germany</b>	Wohnsitz Residence		
Staatsangehörigkeit Citizenship <b>Germany</b>	Staatsangehörigkeit Citizenship		
Postanschrift Post Office Address <b>Am Pastoratsberg 4 42553 Velbert, Germany</b>	Postanschrift Post Office Address		





PTO/SB/96 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Ulrich BISCHOFBERGER ET AL / MAHLE GmbH

Application No./Patent No.: 10/589,215 Filed/Issue Date: September 7, 2006

Entitled: MATERIAL ON THE BASIS OF AN ALUMINUM ALLOY, METHOD FOR ITS PRODUCTION, AS WELL . . .

MAHLE GmbH, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 018292, Frame 0513, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
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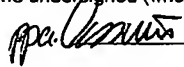

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

		<u>2009/FEB/16</u>
Signature	Signature	Date
<u>Hans-Otto Assmus</u>	<u>Dr. Uwe Mohr</u>	<u>0049 711 501 13140</u>
Printed or Typed Name	Printed or Typed Name	Telephone Number
<u>Directors</u>		
Title		

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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